



PATENT Customer No. 22,852 Attorney Docket No. 02860.0637-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Norikazu ARAI et al.) Group Art Unit: 2655
Application No.: 10/612,019) Examiner: Unassigned
Filed: July 3, 2003))
For: OPTICAL PICKUP APPARATUS, RECORDING/REPRODUCING APPARATUS PROVIDED WITH THE OPTICAL PICKUP APPARATUS, OPTICAL ELEMENT, AND INFORMATION RECORDING/REPRODUCING METHOD) Confirmation No.: 5449)))))))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application

Copies of the listed foreign and non-patent literature documents are attached.

Copies of the U.S. patent publications are not enclosed.

Applicants respectfully request that the Examiner consider the listed documents

and indicate that they were considered by making appropriate notations on the attached

form.

An English Abstract of the non-English document is enclosed.

This submission does not represent that a search has been made or that no

better art exists and does not constitute an admission that each or all of the listed

documents are material or constitute "prior art." If the Examiner applies any of the

documents as prior art against any claim in the application and applicants determine

that the cited documents do not constitute "prior art" under United States law, applicants

reserve the right to present to the office the relevant facts and law regarding the

appropriate status of such documents.

Applicants further reserves the right to take appropriate action to establish the

patentability of the disclosed invention over the listed documents, should one or more of

the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please

charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,

GARRETT & DUNNER, L.L.P.

Dated: June 7, 2005

Michael R. K

Reg. No. 33,921

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IDS Form PTO/SB/08: Substitute for form 14454/PTOWN 0 7 2005		Complete if Known			
		H	73	Application Number	10/612,019
INFORMATION DISC SURE STATEMENT BY APPLICANT AND THE STATEMENT BY APPLICANT AND THE STATEMENT AS THE STATEME			RE 🖋	Filing Date	July 3, 2003
			ALTIABLE	First Named Inventor	Norikazu ARAI et al.
			MHM	Art Unit	2655
	(Use as many sheets	as necessary)		Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attomey Docket Number	02860.0637-02000

	U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
	Cite	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where	
	No.1	Number-Kind Code ² (if known)			Relevant Passages or Relevant Figures Appear	
		US-5,777,970	07-07-1998	Kajiyama et al.		
		US-5,917,791	06-29-1999	Tsuchiya et al.		
	-	US-5,936,782	08-10-1999	Nomura et al.		
		US-6,023,449	02-08-2000	Chung		
		US-6,043,.935	03-28-2000	Lee		
		US-6,154,433	11-28-2000	Hoshino et al.		
		US-6,191,889	02-20-2001	Maruyama		
		US-6,324,150	11-27-2001	Ju		
		US-6,442,124	08-27-2002	Chung et al.		
		US-				
		US-				

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁶
		JP 10-092010	04-10-1998	Cho et al.		Abstract

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation ⁶	
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Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.